

#3
FORMALITIES LETTER



OC000000005228049


**UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office**

 Address: COMMISSIONER OF PATENT AND TRADEMARKS
 Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/560,047	04/27/2000	Hidetsuga Fukuyama	FURUYA CASE 1380

 Flynn Thiel Boutell & Tanis PC
 2026 Rambling Rd
 Kalamazoo, MI 49008-1699


Date Mailed: 07/10/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
FILED UNDER 37 CFR 1.53(b)
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/12/2000 CVORACHA 00000143 09560047

 01 FC:101 690.00 OP
 02 FC:105 130.00 OP

A3
PATENT APPLICATION



IN THE U.S. PATENT AND TRADEMARK OFFICE

September 6, 2000

Applicants: Hidetsugu FUKUYAMA et al

For : CATALYST FOR HYDROCRACKING OF HEAVY OILS
AND METHOD OF HYDROCRACKING HEAVY OILS

Serial No.: 09/560 047

Group: 1764

Filed : April 27, 2000

Examiner: Unknown

Atty. Docket No.: Furuya Case 1380

Box Missing Parts

Assistant Commissioner for Patents

Washington, DC 20231

RESPONSE

Sir:

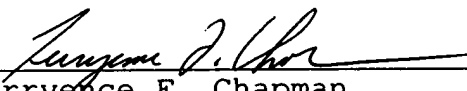
This is a response to the Patent Office communication mailed
July 10, 2000, a copy of which is enclosed.

(Please see following pages.)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for Patents,
Washington, DC 20231, on September 6, 2000.

Respectfully submitted,


Terryence F. Chapman

Pursuant to 37 CFR 1.52, 1.53 and/or 1.62, this application was filed without at least one of the filing fee, the English language text and/or the oath or declaration, and was granted the serial number and filing date set forth in the caption of this response. Accordingly, the items listed below are being submitted herewith:

- ☒ Copy of PTO communication mailed July 10, 2000.
- ☒ Declaration or Oath
 - ☐ Attached to the Declaration or Oath is a copy of the specification which was filed in the Patent Office in order to obtain a filing date for this application.
- ☐ Verified English translation of originally-filed foreign language text.
- ☐ Statement(s) re small entity (37 CFR 1.9 and 1.27):
 - ☐ submitted previously
 - ☐ Statement(s) submitted herewith
- ☒ A check for \$820.00 to cover fees.
- ☒ Amendment Before First Office Action.
- ☒ Information Disclosure Statement.
- ☒ Acknowledgment Postal Card
- ☒ Priority is claimed under 35 USC 119 based on Japan Application No. 11-122448, filed April 28, 1999 and Application No. 2000/063526, filed March 8, 2000.
 - ☒ A certified copy of each of the priority applications is enclosed.
- ☒ The filing fee is as follows:
 - ☐ Design Application - ☐ \$310.00 (large entity)
☐ \$155.00 (small entity)
 - ☒ Utility Application - as calculated below:

For	No. Filed	No. Extra	(X) LG Entity	RATE	() SM Entity	Fee
Basic Fee				\$690.00	\$345.00	\$690.00
Total Claims	(4 - 20 = 0)		x \$	18.00	x \$	9.00
Indep. Claims	(1 - 3 = 0)		x \$	78.00	x \$	39.00
[] Multiple Dep. Claim			+	\$260.00	+	\$130.00
* * * TOTAL FILING FEE * * *						\$690.00

[X] The surcharge under 37 CFR 1.16(e) for late filing of the basic filing fee and/or the oath or declaration is as follows:

[X] \$130.00 (large entity)

[] \$ 65.00 (small entity)

[] The fee under 37 CFR 1.17(k) for filing a foreign language text:

[] was submitted previously.

[] is \$130.00 and is included in an enclosed check.

[] Please charge my Deposit Account No. 06-1382 in the amount of \$_____. A duplicate copy of this sheet is enclosed.

[X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

[X] Any additional filing fees required under 37 CFR 1.16

[X] Any patent application processing fees under 37 CFR 1.17

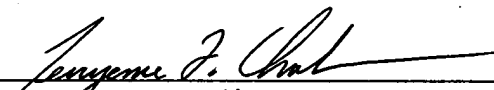
[] The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

[] Any patent application processing fees under 37 CFR 1.17

[] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

IN DUPLICATE


Terryence F. Chapman

TFC/smd

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Encl: Listed above

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